



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kenten et al.
Application No.: 10/681,388
Filed: October 7, 2003
Examiner: Not yet assigned
Art Unit: Not yet assigned
Title: UBIQUITIN FUSION-BASED VACCINE SYSTEM

CERTIFICATE OF MAILING

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Jenny Moulton 1/10/04

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or

(Filed within three months of filing national application; within three months of date of entry of national stage of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either:

a Certification under 37 CFR 1.97(e), or

a \$180.00 fee set forth in 37 CFR 1.17(p), or

(Filed after the CFR 1.97(b) time period, but before final action or notice of allowance)

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- [] under 37 CFR 1.97(d) together with:
- [] a Certification under 37 CFR 1.97(e), and
- [] a fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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- [] Enclosed is a check in the amount of \$_____.
- [] Please charge Deposit Account 500282 in the amount of \$_____.
- [] Two duplicate copies of this Statement are enclosed.
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Enclosed herewith is form PTO-1449:

- [] Copies of the cited references are enclosed.
- Copies of cited references are enclosed except those of record in prior applications, U.S. Serial Nos. 09/964,201 and 09/026,276, and references that are not required to be submitted under Rule 98.

Concise Explanation Requirements:

- [] The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
 - [] the explanation provided on the attached sheet.
 - [] the explanation provided in the Specification.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

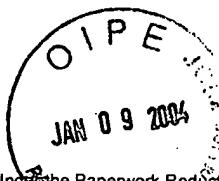


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Portsmouth, NH

Dated: 11/4/04

P0045622.DOC



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known	
Application Number	10/681,388
Filing Date	October 7, 2003
First Named Inventor	John Kenten
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	IGN-2005US03

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	10/681,388
Filing Date	October 7, 2003
First Named Inventor	John Kenten
Art Unit	Not yet assigned
Examiner Name	Not yet assigned

Attorney Docket Number

IGN-2005US03

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AR	Ecker et al., J. of Biol. Chem. 264 No. 13: 7715 (1989).	
	AS	Monia et al., J. of Biol. Chem. 264 No. 7: 4093 (1989).	
	AT	Vannier et al., Biochemistry 35: 1358 (1996).	
	AU	Dalum et al., The American Association of Immunologists (1996).	
	AV	Barry et al., Nature 377: 632 (1995).	
	AW	Loosfelt et al., Proc. Natl. Acad. Sci. USA 89: 3765 (1992).	
	AX	Pilon et al., Biotechnol. Prog. 13: 374-379 (1997).	
	AY	Dalum et al., Molecular Immun. 34: 1113-1120 (1997).	

Examiner Signature	Date Considered
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